A. INTRODUCTION

Regent Policy 4.B requires that each campus have a process for the discontinuance of academic units and degree programs consistent with the CU System Administrative Policy Statement. This policy defines that process.

This policy is required by the University of Colorado/System As stated in Administrative Policy Statement 1015, Implementing Academic Unit or Degree Program Discontinuance, which states in relevant part: “Program discontinuance is the formal termination of an academic unit or degree program by the Board of Regents for educational, strategic realignment, resource reallocation, budget constraint, or financial reasons, or a combination of educational, strategic, and/or financial reasons.” For the purpose of this policy, the term “program” as defined in APS 1015 is adopted: “..."program" refers to a degree program, department or division, school or college, or
other program academic unit. Academic unit is defined as a school, college, department, or program that offers at least one degree program or serves as the tenure home of tenured or tenure-track faculty. Other program units include those that are engaged in research or academic pursuits, whether or not such programs lead to a degree (for example, an institute, a laboratory, etc.). These definitions are consistent with APS 1015. In all cases, the Board of Regents shall make the final decision with respect to academic program discontinuance and the termination of tenure-track or tenured faculty appointments.

B. POLICY STATEMENT

A recommendation to discontinue an academic unit or degree program on the Denver Campus or Anschutz Medical Campus must follow the procedures outlined in this campus policy. Administrative Policy Statement (APS) 1015, referenced above, requires that each campus, in collaboration with its faculty assembly develop a policy and process that shall specify grounds for program discontinuance and the makeup and responsibilities of the faculty review committee. This policy complements Regent Law and Policy and APS 1015 that defines that process. The process for the termination of faculty appointments that are the same for either an internally initiated or externally initiated program discontinuance.

Consistent with APS 1015, in some circumstances the Vice President of Academic Affairs may approve a chancellor’s request to waive certain procedures. This may be warranted when program discontinuance has no impact, or negligible impact, on students and faculty.

In all cases, the Board of Regents shall make the final decision with respect to program discontinuance and any subsequent revocation of tenure.

C. TABLE OF CONTENTS

A. Introduction ........................................................................................................................................1
B. Policy Statement ..................................................................................................................................2
C. Table of Contents .................................................................................................................................2
D. Initial Request ......................................................................................................................................32
E. Components of the Initial Request .....................................................................................................32
F. Review Process ...................................................................................................................................3
G. Decision Process Following a Committee Report ...............................................................................54
H. Implementing Program Discontinuance ..............................................................................................54
I. Definitions ............................................................................................................................................65
Appendix A: Faculty Program Review Committee ..............................................................................76
Appendix B: Program Closure Considerations ......................................................................................87
A. Introduction ........................................................................................................................................1
B. Policy Statement ..................................................................................................................................2
C. Table of Contents .................................................................................................................................2
D. Initial Request ......................................................................................................................................2
E. Components of the Initial Request .....................................................................................................2
F. Chancellor Decision and Process Workflow ........................................................................................3
D. INITIAL REQUEST

A request (Request) to initiate the program discontinuance review process must be submitted in writing. The initial formal written request (Request) for an internally initiated program discontinuance is forwarded to the provost or to the chancellor. The request may be generated by a department, its chair, the dean, the campus program review committee, the Provost, or the President. The Request may also be generated by the chancellor or provost’s own initiative or the Board of Regents. If the Request is initiated at a level below the dean or from another school or college, the dean of the school or college which offers the program in question will be notified and asked to comment on the Request. The discontinuance review process cannot be initiated without the consent of the chancellor or provost.

E. COMPONENTS OF THE INITIAL REQUEST

A Request shall include at a minimum the following elements:

1. Name of the program.
2. Names and titles of persons submitting the Request.
3. Rationale for Request; this must include the educational, strategic realignment, resource allocation, budget constraint, financial reasons, or combinations of educational, strategic and/or financial reasons. (See APS 1015 Appendix B-A for examples).
4. Data or other relevant metrics associated with the Request. (See APS 1015, Appendix A)
5. Anticipated number, rank of faculty (by type) and students possibly affected.
6. Comment by the dean (if the Request is generated at a level below the dean); comments by both deans (if the Request is generated by another school or college); comments by both deans (or unit head if there is not another dean) when faculty in the program hold their tenure or appointment in another academic unit.
7. Reference to any formal planning document(s) that cite the program, e.g. an academic master plan.

F. CHANCELLOR DECISION AND PROCESS WORKFLOW REVIEW PROCESS

1. Upon receipt of a Request for program discontinuance from the campus level, the chancellor may reject it as unwarranted by notice to the requestor(s). In all other
cases, the chancellor or their designee (e.g. Provost) shall promptly notify all faculty and staff members in the affected academic unit(s). The affected unit’s faculty members shall have the right of reasonable participation in all phases of the process for review of the request.

2. Following receipt of the Request for a decision to initiate a program discontinuance review process, the chancellor or their designee (e.g. Provost) shall convene a faculty review committee (the “Faculty Program Discontinuance Recommendation Review Committee” or “Committee”) (see Appendix A of this policy) to undertake a review and submit a written report. Using this policy that outlines the grounds for closure (see Appendix B), the Committee shall collect information to ascertain whether the grounds for discontinuance, as stated in the Request, are warranted. In carrying out their charge, the Committee shall take input from the faculty members of the program recommended for closure and from other faculty members, students, and administrative units affected by the possible closure. All faculty, staff, and students of the academic unit shall have the opportunity to present written evidence or arguments related to the potential discontinuance. The Committee will make a determination on whether there are grounds for discontinuance and will prepare a written report of its findings for the chancellor. If closure is recommended, the Committee shall make recommendations for faculty appointments to be terminated in accordance with relevant university and regent laws and policies.

3. Other Committee Obligations

a. The Committee should function as a neutral, confidential body and carefully consider the Request and all relevant information. The underlying presumption is that all Committee discussions, deliberations and written materials are confidential.

b. Committee members will use all available qualitative and quantitative information to make a recommendation.

c. The Committee will base its recommendation upon the strengths and contributions of the program to the university and/or campus mission, whether the evidence supports discontinuance based on the rationale provided in the Request.

d. A quorum will be defined as 75% of members. A super majority (at least 2/3) is required to support the recommendation to discontinue a program.

e. Committee members not supportive of the recommendation may choose to develop a minority report. It must be developed on the same timeline as the recommendation and it will also be forwarded to the chancellor along with the recommendation.

f. The Committee will be available to consult with the chancellor in the preparation of the plan for program closure.

g. The Committee will act in accordance with University policies and Code of
Conduct regarding Conflicts of Interest, Responsible Conduct and Ethical Requirements.

h. As the chancellor must present the final recommendation for closure and the plan to president within 120 days of the Request, the Committee chair shall submit its Committee Report to the chancellor within 90 days following the Request of convening. Written evidence or arguments provided to the committee by faculty, staff, or students must be submitted with the committee report.

4. Criteria for Committee Review

The decision to discontinue an academic program for educational, strategic, realignment, resource allocation, budget constraints or combinations of educational, strategic, and/or financial reasons should never be a decision that should never be taken lightly. Those involved in such a process shall endeavor at all times to assess each program/unit in the context of the mission of the campus and university at large through a process that is highly consultative, transparent, and collegial. In producing their final report and recommendation, the Committee shall be guided by the evidence gathered during the review process—the determination of strengths and contributions, relative to the areas in which the program is perceived to be lacking or may need improvement. This review shall be based on a non-exclusive list of factors (see Appendix B) that attempt to define a productive and quality academic program.

G. REVIEW DECISION PROCESS FOLLOWING A COMMITTEE REPORT

The chancellor shall review the report and recommendation of the Committee. If the chancellor determines that discontinuance is not warranted, the process will end. If the chancellor determines that discontinuance is warranted, those affected shall be informed of the decision and the chancellor will provide a report to the president. The chancellor may elect to meet with the Committee to discuss the decision.

The president will review the report and forward the chancellor’s recommendation to the Board of Regents. The review process following the Committee report shall follow the guidance outlined in APS 1015. The Board of Regents shall make the final decision on the discontinuance of a degree program or academic unit discontinuance and any related termination of tenured and tenure-track faculty.

H. IMPLEMENTING PROGRAM DISCONTINUANCE

Following a decision by the Board of Regents to discontinue a program, the chancellor will develop a plan for implementation. Consistent with APS 1015, this plan must include: 1) the timing for phasing out the program; (2) plans for reassigning faculty to other academic units, if applicable; (3) a strategy to allow students enrolled in the program to complete the degree in a reasonable time; (4) identification of, rationale for, and timing of, all tenured and tenure-track faculty appointments that may be terminated; (5) analysis of other faculty and staff appointments affected by discontinuance; and (6)
any other issues related to discontinuance of the program.

The chancellor may request the assistance of the Committee in preparing the implementation plan.

Faculty who may be affected by a decision on program discontinuance shall be notified in accordance with the timelines and processes outlined in APS 1015. If tenured faculty are to be terminated due to program discontinuance, the action to terminate is contingent upon the revocation of tenure by the Board of Regents.

H.I. DEFINITIONS

For the purposes of this policy, all definitions incorporate those outlined in Regent Law and Policy, and in relevant Administrative Policy Statements, including APS 1015.

*Externally Initiated Program Discontinuance.* Results from Colorado Commission on Higher Education (CCHE) policies.

Notes

   May 3, 2018: Modified
   July 1, 2019: Revised
   July 1, 2020 (Pending): Revised

2. History:

   May 3, 2018: Updated to reflect a Campus-wide effort to recast and revitalize various Campus policy sites into a standardized and more coherent set of chaptered policy statements organized around the several operational divisions of the university.
   July 1, 2019: Amended and revised to ensure consistency with APS 1015.
   July 1, 2020 (Pending): Amended and revised to ensure consistency with updates to APS 1015.

3. Initial Policy Effective Date: January 17, 2006

4. Cross References/Appendix:
   - Regent Law, Article 4, Academic Organization and Program Planning
   - Regent Policy 4B, Academic Organization and Program-Planning and Accountability
   - Administrative Policy Statement 1015, Implementing Academic Unit or Degree Program Discontinuance
APPENDIX A: FACULTY PROGRAM DISCONTINUANCE REVIEW COMMITTEE

The program discontinuance review committee will include:

- One faculty representative from each school and college on the relevant campus.*
  Selection of the faculty representatives will be a collaborative decision of the chancellor or their designee (e.g. Provost) and school/college faculty governance leaders.
- Two members of the faculty assembly of the relevant campus,* to be selected by the faculty assembly leadership.

The committee will select a member to serve as chair during the review process.

* Relevant campus refers to the campus that is home to the program under consideration for discontinuance.

Normally, the Chancellor or their designee (e.g. Provost) shall appoint as follows:

Standing (8 members).

a. Faculty—Denver Campus (4 members)
b. Faculty—Anschutz Medical Campus (4 members)

Ad-hoc—(5 members)*

a. Faculty Assembly representative—Denver Campus (1 member)
b. Faculty Assembly representative—Anschutz Medical Campus (1 member)
e. Faculty from campus at which program is under consideration (2 members)
d. Faculty from campus at which program is not under consideration (1 member)

Chair

a. Selection: vote by standing members
b. Term: one fiscal year; renewable for one additional year
c. Role: voting member

Staff

a. Administrative support for the Committee will be assigned by the chancellor or the chancellor’s designee.

a. All voting members must be tenured faculty (and cannot be a dean, associate or assistant dean or functioning in a role that is primarily administrative).* The Committee may ask the chancellor to add additional ad-hoc members. Further, the Committee may ask the chancellor to add non-tenure-track faculty members to participate on a specific Request as non-voting members (they will have equal status in committee deliberations but will not vote). The chancellor reserves the right to make final decisions on the composition and makeup of the Committee.

b. Standing Member Selection Procedures: Selection of members will be a collaborative decision by the chancellor or their designee (e.g. Provost) and the faculty assembly leaders.
e. **Ad hoc Members.** The Faculty Assembly representatives will be selected by the faculty-assembly leadership. Nominations for other ad-hoc members will be from faculty and deans, and faculty from other CU campuses may be nominated. Selection of ad-hoc members will be a collaborative decision of the chancellor or their designee (e.g., Provost) and faculty-governance leaders.

**APPENDIX B: PROGRAM CLOSURE CONSIDERATIONS**

Program Closure Considerations are non-exclusive lists of factors that may be considered during review of a program being considered for discontinuance. The most current list may be found in Appendix: Program closure considerations in APS 1015, and is adopted in its entirety for the purposes of this policy.