DOSSIER SUBMISSION GUIDELINES – DENVER CAMPUS 2024-25 REAPPOINTMENT, TENURE, AND PROMOTION

Schools/Colleges/Library are responsible for compiling and organizing their candidates' dossiers in Interfolio. Please forward cases to Betsy Metzger in the Office of Faculty Affairs by **Wednesday**, **January 15, 2025**.

RTP Record Retention

University of Colorado Denver | Anschutz Medical Campus record retention policy requires that all faculty personnel records, including promotion and tenure documents, be maintained for 10 years after the employee's separation from the University. Schools/colleges/library should retain a full and complete electronic record.

Dossier Components

File Names

The following tables indicate the naming conventions for dossier components. Certain dossiers may not have all the items that are indicated, but the ordering of what is placed in the dossier should follow the guidelines.

<u>Table 1. Tenure/Tenure and Promotion/Promotion to Professor/Comprehensive Review: Dossier Components (all in PDF)</u>

Dossier Component	File Name		
Dossier Checklist	01_LastNameFirstName_Checklist		
UCD-7 Signature Form	02_LastNameFirstName_SignatureForm		
Primary Unit Criteria Primary Unit Criteria Version Agreement	03_LastNameFirstName_PrimaryUnitCriteria 03a_LastNameFirstName_PUCVersionAgreement		
Initial Offer Letter	04_LastNameFirstName_InitialOfferLetter		
Previous RTP/Personnel Actions	05_LastNameFirstName_PreviousRTP_PersonnelActions		
Pandemic Impact Statement	06_LastNameFirstName_PandemicImpactStatement		
Curriculum Vitae	07_LastNameFirstName_CV		
Overall Summary Statement	08_LastNameFirstName_SummaryStatement		
Teaching (Librarianship) Statement	09_LastNameFirstName_TeachingStatement		
FCQ Summaries	10_LastNameFirstName_FCQSummaryChart 10_LastNameFirstName_FCQSummaries		
Scholarly/Creative Work Statement	11_LastNameFirstName_ScholarlyCreativeStatement		
Leadership/Service Statement	12_LastNameFirstName_ServiceStatement		
Supporting Teaching (Librarianship) Materials	13_LastNameFirstName_SupportingTeachingMaterials		
Supporting Scholarly/Creative Work Materials	14_LastNameFirstName_SupportingScholarlyMaterials		
Supporting Leadership/Service Materials	15_LastNameFirstName_SupportingServiceMaterials		
Primary Unit Evaluation Committee Report	16_LastNameFirstName_PrimaryUnitEvalCommitteeReport		

Primary Unit Analysis of Teaching (Librarianship)	17_LastNameFirstName_PUAnalysisTeaching
Primary Unit Analysis of Scholarly/Creative Work	18_LastNameFirstName_PUAnalysisScholarlyCreativeWork
Primary Unit Analysis of Leadership/Service	19_LastNameFirstName_PUAnalysisService
Primary Unit Recommendation	20_LastNameFirstName_PrimaryUnitRecommendation
Dean's Advisory Committee Recommendation	21_LastNameFirstName_DeansAdvisoryRecommendation
Dean's Recommendation	22_LastNameFirstName_DeansRecommendation
External Review	23_LastNameFirstName_ExternalReview
Case Reconsideration Recommendation(s) (*only for cases reconsidered by schools/colleges/library)	24_LastNameFirstName_ReconsiderationRecommendations

Table 2. Hires with Tenure: Dossier Components (all in PDF)

Dossier Component	File Name
Dossier Checklist	01_LastNameFirstName_Checklist
UCD-7 Signature Form	02_LastNameFirstName_SignatureForm
CU Denver Primary Unit Criteria	03_LastNameFirstName_PrimaryUnitCriteria
Candidate's Current Institution Tenure/Promotion Criteria	04_LastNameFirstName_CurrentInstitutionCriteria
CU Denver Offer Letter	05_LastNameFirstName_OfferLetter
Curriculum Vitae	06_LastNameFirstName_CV
Teaching (Librarianship) Evidence	07_LastNameFirstName_TeachingEvidence
Scholarly/Creative Work Evidence	08_LastNameFirstName_ScholarlyCreativeEvidence
Leadership/Service Evidence	09_LastNameFirstName_ServiceEvidence
Primary Unit Recommendation	10_LastNameFirstName_PrimaryUnitRecommendation
Primary Unit Analysis of Teaching (Librarianship)	11_LastNameFirstName_PUAnalysisTeaching
Primary Unit Analysis of Scholarly/Creative Work	12_LastNameFirstName_PUAnalysisScholarlyWork
Primary Unit Analysis of Leadership/Service	13_LastNameFirstName_PUAnalysisService
Dean's Review/Advisory Committee Recommendation	14_LastNameFirstName_DeansAdvisoryRecommendation
Dean's Recommendation	15_LastNameFirstName_DeansRecommendation
Situational Letters of Recommendation (as appropriate for Situation A, B, C, or D)	16_LastNameFirstName_SituationalLetters
External Letters (if required)	17_LastNameFirstName_ExternalLetters

Adding Material

It is the responsibility of the school/college to ensure that any material added to a candidate's dossier after its initial submission is entered in their Interfolio case and the Office of Faculty Affairs is notified. Additional material should be scanned into **a single PDF file** using the following naming convention: "LastNameFirstName_AdditionalMaterial_Date"

Sample
JonesMary_AdditionalMaterial_2.2.25

Dossier Checklists

Certain dossiers may not have all the items that are indicated, but the ordering of what is placed in the dossier should follow the guidelines.

Dossier Checklist: Reappointment, Tenure, and Promotion (CU Denver) 2024-25
Candidate's Name:
School/College/Library:
Current Rank/Title:
Action: [] Comprehensive Review for Reappointment [] Tenure [] Promotion
A candidate's dossier must include the following items:
[] Completed dossier checklist
[] UCD-7 signature form
[] Primary unit criteria [] Statement re: version of primary unit criteria candidate agrees to be used in evaluating the case (previous or current if candidate was hired with previous criteria in place. For promotion to full professor, current primary unit criteria shall apply.)
[] Initial offer letter
[] Previous RTP and personnel actions, if any (including credit for prior service, tenure clock extension forms, reappointment letters and VCAC memos, tenure letters and VCAC memos)
[] Faculty Pandemic Impact Statement (optional)
[] Current curriculum vitae (See Strategies for Success Appendices for suggested format.)
[] Overall summary statement (two-to-three-page summary overview)
[] Teaching (librarianship) statement (no more than three pages)
[] FCQ one-page summary table (see Strategies for Success Appendices)
[] Scholarly/creative work statement (no more than three pages)
[] Leadership/service statement (no more than three pages)
[] Supporting teaching (librarianship) materials

[] FCQs (Schools and colleges have discretic unbiased, representative samples of FCQs.	on in terms of very large courses, but need to submit)
[] Other supporting teaching (librarianship	o) materials
[] Supporting scholarly/creative work materia	ıls
[] Supporting leadership/service materials	
[] Primary Unit Evaluation Committee report	
[] Primary Unit analysis of teaching (librari (Documentation requires peer reviews of te evaluation, and critical, relevant teaching/li	eaching/librarianship, other multiple methods of
[] Primary Unit analysis of scholarly/creati	ve work (subcommittee report, if relevant)
[] Primary Unit analysis of leadership/serv	ice (subcommittee report, if relevant)
[] Primary Unit recommendation and vote (Set for acceptable wording for evaluation and vote	<u> </u>
[] if vote is not unanimous, an explanation minority report by dissenting faculty ma	<u> </u>
[] Dean's review/advisory committee recomm Requirements for Dossiers for acceptable w (an independent analysis at this level is required)	ording for evaluation and vote count.*)
[] if vote is not unanimous, an explanation dissenting faculty may be added (This is	of dissenting views and a minority report by helpful, but not required.)
[] Dean's recommendation (See Letter Writing wording for evaluation.*)	g Requirements for Dossiers for acceptable
[] External Review	
[] Letters received from external reviewer	s
[] Copies of External Reviewers' biograph	ical sketch or short vita
 relationship, if any, of the evaluation primary unit who responded 	licating: unit recommended the evaluator uator to the candidate or to a member(s) of the ncy if they are quoted in first-level and second-leve
[] Explanation of how reviewers were sele	cted
[] Copy of the letter requesting external re	viewer evaluation letters
[] Number of reviewers meets requirement	ts [] explanation if requirement not met
[] Ratio meets requirements	[] explanation if requirement not met
[] Reconsideration recommendations	

*Letter Writing Requirements for Dossiers dictate	s the acceptable wording for evaluation and vote counts of
Dean's Signature	Date
I have reviewed this candidate's dossier and a policy.	offirm that it is complete and is consistent with University
[] Additional reconsideration and vote of and/or Dean, if applicable	the Dean's advisory/review committee
[] Primary unit's reconsideration, if appli	cable
Primary Unit returns its reconsidered jud	Igment to the Dean for further consideration.)

(If the Dean's review/advisory committee or the Dean disagrees with the recommendation of the Primary Unit, the dossier is returned to the Primary Unit for reconsideration, after which the

^{*}Letter Writing Requirements for Dossiers dictates the acceptable wording for evaluation and vote counts of performance at each level. Reappointment/comprehensive review evaluation of teaching (librarianship), scholarly/creative work, and leadership/service differ from promotion and tenure evaluations.

Dossier Checklist: Hire with Tenure (CU Denver) 2024-25

- a) A statement of the specific merits of the candidate, including a summary of how the candidate meets or exceeds the Regental and school, college, or library standards for tenure, tenure and promotion, or tenure at the rank of professor.
- b) A description of the long-range fiscal and academic program plans for the unit.
- c) An explanation of how the personnel action fits into the unit's plan.

[] Situational Letters (as appropriate to the situation)
[] Situation A: Copy of the official letter granting the candidate tenure at the current institution
Candidate is currently a tenured associate professor at a comparable institution, and requests tenure as an associate professor.
[] Situation B: Copy of the official letter granting the candidate tenure at the current institution
AND
three external letters of evaluation for promotion to the rank of professor OR letters of recommendation for hire
Candidate is currently a tenured associate professor at a comparable institution, and requests tenure and promotion to professor.
[] Situation C: Copy of the official letter granting the candidate tenure at the current institution
AND
copy of the official letter granting the candidate the rank of professor at the current institution
Candidate is currently a tenured professor at a comparable institution, and requests tenure and the rank of professor.
[] Situation D: Copy of the official letter granting the candidate the current rank at the current institution
AND
three external letters of evaluation for the award of tenure
(a very unusual situation): Candidate is not currently tenured at another institution, but has a record that clearly meets the Campus' standards for tenure. This would most likely only occur if the candidate is at a program/institution that does not grant tenure.
[] External Letters if required (if the letters of recommendation for hire are not used or if the candidate is not currently tenured at another institution)
I have reviewed this candidate's dossier and affirm that it is complete and is consistent with University policy.
Dean's Signature Date

^{*}Note that *Letter Writing Requirements for Dossiers* dictates the acceptable wording for evaluation and vote counts of performance in teaching, scholarly/creative work, and leadership/service.

Letter Writing Requirements for Dossiers

There are a number of **necessary requirements** in preparing letters in a case for the second-level and third-level reviews. These typically depend on type of case.

(A) COMPREHENSIVE REVIEW FOR REAPPOINTMENT

(1) <u>For evaluations of the three areas</u>: Record vote counts (yes-no-recusal-absent) for teaching (librarianship), scholarly/creative work, and leadership/service. Recusals from discussion and voting should apply if there is a conflict of interest or a bias regarding a candidate, meaning that a committee member is unable to render a fair and unbiased opinion. A recused committee member cannot be present during the discussion or vote. **Abstentions are not permitted**.

In the Primary Unit evaluations, the total in the vote table should equal the number of faculty eligible to vote. Faculty who are eligible to vote but cannot vote due to departmental bylaws restrictions (e.g., the chair), participation in upper levels of review (DAC, Dean, or VCAC), or a conflict of interest should be counted as recused.

Use the designations on track for tenure; not on track for tenure, but could meet standards for tenure with appropriate corrections; or not on track for tenure for evaluations of the three areas.

Examples:

- The primary unit voted 6-0-0-0 (yes-no-recusal-absent) for on track for tenure in teaching with six committee members voting for on track for tenure.
- The primary unit voted 4-2-0-0 (yes-no-recusal-absent) for **not on track for tenure, but could meet standards for tenure with appropriate corrections** in scholarly/creative work with four committee members voting for not on track for tenure, but could meet standards for tenure with appropriate corrections and two for on track for tenure.
- The primary unit voted 4-2-0-0 (yes-no-recusal-absent) for not on track for tenure in leadership/service with four committee members voting for not on track for tenure and two for not on track for tenure, but could meet standards for tenure with appropriate corrections.

Add a table like the one following to record evaluations:

Evaluation of Teaching (Librarianship), Scholarly/Creative Work, and Leadership/Service (OT = on track for tenure, NY = not yet on track for tenure, but could meet standards with appropriate corrections, NOT = not on track for tenure)	Teaching (Librarianship)	Scholarly/ Creative Work	Leadership/ Service
Department/Primary Unit	6OT	4NY, 2OT	4NOT, 2NY
Dean's Review/Advisory Committee	5OT, 2NY	4OT, 3NY	5NY, 1 OT, 1NOT
Dean's Evaluation	ОТ	OT	NY

(2) <u>For the overall rating</u>: Use the designations on track for tenure; not on track for tenure, but could meet standards for tenure with appropriate corrections; or not on track for tenure in reviews by the primary unit, the dean's review/advisory committee, and the dean in Comprehensive Review evaluations. Do not invent other terminology.

Overall Evaluation	On track for tenure	Not yet on track for tenure, but could meet standards for tenure with appropriate corrections	Not on track for tenure
Department/Primary Unit	6	0	0
Dean's Review/Advisory Committee	5	1	1
Dean's Evaluation	Х		

(3) For the overall recommendation and vote: Record the overall vote for reappointment as yes-no-recusal-absent.

Examples:

• The dean's advisory committee voted 6-1-0-1 (yes-no-recusal-absent) for reappointment.

Add a table like the one following to record votes for reappointment:

Votes	Yes	No	Recusal	Absent
Department/Primary Unit	10	0	0	0
Dean's Review/Advisory Committee	6	1	0	1
Dean's Recommendation	Х		NA	NA

If the vote is not unanimous, the letter should explain the dissenting views or include a minority report submitted by the dissenting faculty, if they choose to do so. If no dissenting views were expressed, the letter should explicitly state that.

(B) TENURE AND PROMOTION REVIEW / HIRE WITH TENURE REVIEW

(1) <u>For evaluations of the three areas</u>: Record vote counts (yes-no-recusal-absent) for teaching (librarianship), scholarly/creative work, and leadership/service. Recusals from discussion and voting should apply if there is a conflict of interest or a bias regarding a candidate, meaning that a committee member is unable to render a fair and unbiased opinion. A recused committee member cannot be present during the discussion or vote. **Abstentions are not permitted**.

In the Primary Unit evaluations, the total in the vote table should equal the number of faculty eligible to vote. Faculty who are eligible to vote but cannot vote due to departmental bylaws restrictions (e.g., the chair), participation in upper levels of review (DAC, Dean, or VCAC), or a conflict of interest should be counted as recused.

Use the designations **excellent**, **meritorious**, or **not meritorious** in Tenure and Promotion evaluations by the primary unit, the dean's review/advisory committee, and the dean.

Examples:

• The primary unit voted 6-1-0-0 (yes-no-recusal-absent) for **meritorious** in teaching with six committee members voting for meritorious and one for excellent.

- The primary unit voted 7-0-0-0 for **excellent** in scholarly/creative work with seven committee members voting for excellent.
- The dean's advisory committee voted 4-3-0-0 for **not meritorious** in leadership/service with four committee members voting for not meritorious, two for meritorious, and one for excellent.

Add a table like the one following to record evaluations:

Evaluation of Teaching, Scholarly/Creative Work, and Leadership/Service (E = excellent, M = meritorious, NM = not meritorious)	Teaching (Librarianship)	Scholarly/ Creative Work	Leadership/ Service
Department/Primary Unit	6M, 1E	7E	4E, 3M
Dean's Review/Advisory Committee	4E, 3M	6E, 1M	4NM, 2M, 1E
Dean's Evaluation	E	Е	M

(2) For the overall recommendation and vote: Record the overall vote for promotion and tenure as yes-no-recusal-absent.

Example:

• The dean's advisory committee voted 6-1-1-0 (yes-no-recusal-absent) in favor of tenure and promotion; one member was recused.

Add a table like the one following to record votes:

Votes	Yes	No	Recusal	Absent
Department/Primary Unit	7	0	0	1
Dean's Review/Advisory Committee	6	1	1	0
Dean's Recommendation	Х		NA	NA

If the vote is not unanimous, the letter should explain the dissenting views or include a minority report submitted by the dissenting faculty, if they choose to do so. If no dissenting views were expressed, the letter should explicitly state that.

(C) PROMOTION TO FULL PROFESSOR REVIEW

(1) <u>For evaluations of the three areas</u>: Record vote counts (yes-no-recusal-absent) for teaching (librarianship), scholarly/creative work, and leadership/service as part of an overall recommendation. Recusals from discussion and voting should apply if there is a conflict of interest or a bias regarding a candidate, meaning that a committee member is unable to render a fair and unbiased opinion. A recused committee member cannot be present during the discussion or vote. **Abstentions are not permitted.**

In the Primary Unit evaluations, the total in the vote table should equal the number of faculty eligible to vote. Faculty who are eligible to vote but cannot vote due to departmental bylaws restrictions (e.g., the chair), participation in upper levels of review (DAC, Dean, or VCAC), or a conflict of interest should be counted as recused.

Use the designations **excellent**, **meritorious**, or **not meritorious** in Promotion to Professor evaluations by the primary unit, the dean's review/advisory committee, and the dean.

Examples:

- The primary unit voted 4-0-0-1 (yes-no-recusal-absent) for **excellent** in librarianship with four committee members voting for excellent; one member was absent.
- The primary unit voted 3-1-0-1 (yes-no-recusal-absent) for meritorious in scholarly/creative work with three committee members voting for meritorious and one for excellent; one member was absent.
- The primary unit voted 3-1-0-1 for **not meritorious** in leadership/service with one committee member voting for meritorious and three voting for not meritorious; one member was absent.

Add a table like the one following to record evaluations:

Evaluation of Teaching, Scholarly/Creative Work, and Leadership/Service (E = excellent, M = meritorious, NM = not meritorious)	Teaching (Librarianship)	Scholarly/ Creative Work	Leadership/ Service
Department/Primary Unit	4E	3M, 1E	3NM, 1M
Dean's Review/Advisory Committee	4M, 3E	5E, 2M	5E, 2M
Dean's Evaluation	E	E	М

(2) For the overall recommendation and vote: Add a table like the one following to record votes:

Votes for Promotion	Yes	No	Recusal	Absent
Department/Primary Unit	4	0	0	1
Dean's Review/Advisory Committee	5	2	1	0
Dean's Recommendation	Х		NA	NA

(3) For the overall evaluation: Add a table like the one following to record the overall evaluation for Promotion to Full Professor.

Use the designations the record taken as a whole is excellent or the record taken as a whole is not excellent in Promotion to Full Professor evaluations by the primary unit, the dean's review/advisory committee, and the dean.

Votes			
Department/Primary Unit	RECORD TAKEN	AS A WHOLE	IS EXCELLENT*
Dean's Review/Advisory Committee	RECORD TAKEN	AS A WHOLE	IS EXCELLENT*
Dean's Recommendation	RECORD TAKEN	AS A WHOLE	IS EXCELLENT*

If the vote is not unanimous, the letter should explain the dissenting views or include a minority report submitted by the dissenting faculty, if they choose to do so. If no dissenting views were expressed, the letter should explicitly state that.

*Note that there are three criteria for promotion to Full Professor. These are found in the system Administrative Policy Statement 1022.V.K.: https://www.cu.edu/ope/aps/1022.

(D) OTHER SITUATIONS

In other evaluation situations, please subscribe to the examples given above as closely as possible.

University of Colorado Denver – Office of the Provost Reappointment, Tenure, and Promotion Signature Form (UCD-7)

[] Mr. [] Ms. [] Dr. Name (L	Rank/Title			
School / College / Library Department		[] Yes Tenure]		[]Yes []No Tenured
Highest Degree Awarded	Year Awarded		Institution	
Highest Degree Awarded Years at the University of Colorado o				
Years at the University of Colorado (_			
Elsewhere (List only if approved for I				
` ' ''	,	ars of Credit:	Title/Rank:	
	A. Recommendation			
	(Subject to fi	nal approval by the (
PRIMARY UNIT'S RECOMMENDAT		Effective data		
Recommendedfor	years (11 Offly)	Litective date		
Not recommended		Signature		Date
DEAN'S RECOMMENDATION:				
Recommended for	years (TT only)	Effective date		
Not recommended		Signature		Date
PROVOST'S RECOMMENDATION:		<u> </u>		
Recommended for	years (TT onlv)	Effective date		
	,,,,			
Not recommended		Signature		Date
PRIMARY UNIT'S RECOMMENDAT Recommended for _ Not recommended	ION:		,	
		Signaturo		
DEAN'S RECOMMENDATION: Recommended for	(Title/Rank)	Effective date		
	(Tiue/Natik)			
Not recommended		Signature		Date
PROVOST'S RECOMMENDATION: Recommended for	(Title/Rank)	Effective date		
Not recommended				
vot recommended	_			Date
(All	C. Recommend continuous tenure recomme	dation for CONTINU Indations subject to f		legents)
PRIMARY UNIT'S RECOMMENDAT	TON:	•		,
Recommended		Effective date		
Not recommended		Signature		Date
DEAN'S RECOMMENDATION:				
Recommended	<u>_</u>	Effective date		
Not recommended				
		Signature		Date
PROVOST'S RECOMMENDATION:		Effective data		
Recommended				
Not recommended		Signature		Date

Sample Summary Table of Courses Taught and FCQs

Course Number:	Title of Course:	Under- Graduate: (UG) Grad: (G)	New Prep: (NP) Prev. Taught # of times: (PT-X)	Co-taught: (CT) Single:(S)	Course Format:	Number of Students: Census: (a) Finals Week: (b)	FCQs Course Rating: (6 pt scale)	FCQs Instructor Rating: (6 pt scale)
FALL 20XX						(4)		
FINE 1100	Drawing Foundations	UG	PT-1	S	SA	15a/13b	5.3	5.6
FINE 3000	Intermediate Drawing	UG	NP	S	SA	13a/12b	5.7	5.7
FINE 4800	Senior Art Seminar	UG	NP	СТ	S	15a/15b	4.7	5.7
SPRING 20XX								
FINE 4000	Advanced Drawing	UG	NP	S	SA	13a/13b	5.7	5.7
FINE 4800	Senior Art Seminar	UG	PT-1	S	S	18a/18b	5.1	5.5
FALL 20XX								
FINE 2000	Life Drawing	UG	NP	S	SA	16a/15b	5.7	5.7
FINE 4210	Advanced II Painting	UG	NP	S	SA	14a/14b	5.0	5.3
FINE 4800	Senior Art Seminar	UG	PT-2	S	S	19/19	5.2	5.4
FINE 4840	Independent Study	UG	PT-1	S	IS	1a/1b	5.8	5.9
SPRING 20XX								
FINE 2200	2-D Design	UG	NP	S	SA	15a/15b	5.0	5.2
FINE 2200	Painting I	UG	NP	S	SA	18a/17b	5.3	5.4
		1		_1	Overall Ave	rage FCQ Ratings:	5.31	5.55

KEY: Course Type

L (Lecture)	LL (Lecture/Lab)	ML (Main Lab)	S (Seminar)	SA (Studio: Art)	SM (Studio: Music)
PI (Private Instruction)	FI (Field Instruction)	P (Practicum)	CE (Cooperative Education)	TS (Travel Study)	
MT (Master's Thesis	DD (Doctoral Dissertation)	R (Research)	IS (Independent Study)		
HY (Hybrid)	OL (Online)				

Note: Starting in the Fall of 2018 scores use a 5-point scale rather than a 6-point scale